ATTORNEY DOCKET NO. SD-6769.1/S96421 SERIAL NO. 09/970.912 U.S. PATENT No. 7,362,859

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED CENTRAL FAX CENTER

In re application of: Perry J. Robertson

JUL **2 1** 2008

Patent No.:

7,362,859

Issued:

April 22, 2008

Serial No.:

09/970,912

Filed:

October 4, 2001

For:

ENHANCEMENT OF UTILIZATION OF ENCRYPTION

**ENGINE** 

Confirmation No.:

3158

Group No.:

2136

Patent Examiner:

Eleni A. Shiferaw

Assistant Commissioner for Patents Commissioner of Patents and Trademarks Box DAC

Alexandria, VA 20231

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

37 CFR 1.8(a)

37CFR.1.10

☐ as "Express Mail Post Office to Addressee" ☐ with sufficient postage as first class mail

Mailing Label No.

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office, fax number 571-272-3218-ATTN:

71-273-8300

Printed Name

Sir:

#### PETITION UNDER C.F.R. § 1.182

Applicants submit this Petition under C.F.R. § 1.182 in response to the denial of the issuance of a Certificate of Correction to correct an error in the name of an inventor (copy attached). Any fees required by this paper may be charged to Deposit Account No. 19-0131.

# CENTRAL FAX CENTER

**LEGAL** 

JUL **2 1** 2008

ATTORNEY DOCKET NO. SD-6769.1/S96421 SERIAL NO. 09/970,912 U.S. PATENT No. 7,362,859

#### REMARKS

15:01

07/21/2008

Applicants respectfully request that the name of the first-named inventor for issued patent U.S. Patent No. 7,362,859 (Attorney Docket No. SD-6769.1/S96421, Serial No. 09/970,912) be corrected. The issued patent identifies the first-named inventor as Robert J. Robertson. The correct name is Perry J. Robertson. This error went undetected until after issuance because in all communications between the USPTO and the Applicants, this inventor's name had been correctly indicated. On the Oath & Declaration itself, this inventor's name was also correctly indicated in all locations except for the name on the signature line itself. The inventor signed with his correct name of Perry J. Robertson. The error on the Oath & Declaration was typographical in nature and inadvertent. Attached please find a copy of the Oath & Declaration on which it can be seen that the only location where the error occurred was in the name on the signature line; in all other places on the Oath & Declaration, the correct name of Perry J. Robertson was indicated. The correct name is also indicated on the Corrected Filing Receipt (copy attached). Also attached are copies of first pages of office actions and notices received from the PTO, all of which show the inventor's correct name as Perry J. Robertson, including the Notice of Allowance.

Aarlev.

07/21/2008 15:01

ATTORNEY DOCKET NO. SD-6769.1/S96421 SERIAL NO. 09/970,912 U.S. PATENT NO. 7,362,859

In light of the fact that the typographical and inadvertent error only occurred in one location and was not propagated throughout all the documentation and in light of the fact that the name on the issued patent is incorrect, Applicants request that this petition for correction of the name of the first-named inventor be granted and the issued patent be corrected to identify the first-named inventor as Perry J. Robertson, not Robert J. Robertson.

Further and favorable consideration is respectfully requested.

Respectfully submitted,

Date: 07 21 08

Madelylane J. Farber 0 Registration No. 45,410

Sandia National Laboratories P.O. Box 5800, MS 0161 Albuquerque, NM 87185-0161 (p) 505-844-3858, (f) 505-844-9955

Enclosures:

Copy of Letter Denying Request for Certificate of Correction (2 pages)

Copy of Oath & Declaration (2 pages)

Copy of Corrected Filing Receipt (2 pages)

Copies of pages from communications sent by the USPTO to Applicants

(7 pages)

Copy of Notice of Allowance (1 page)

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY OF COMMERCE AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

RECEIVED CENTRAL FAX CENTER

JUL 2 1 2008

6/24/08

Patent No.

: 7,362,859 B1

5058442363

Issue Date Ser. No. Inventor(s)

: Robertson, ct al.

Title

: ENHANCEMENT OF UTILIZATION OF

**ENCRYPTION ENGINE** 

Re: Request for certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rules 1.322 and 1.323.

An error on a patent can be corrected by a certificate of correction, if appropriate. Accordingly, a petition under C.F.R. 1.182 is required to correct the alleged error in the inventor's name since inventor's name (s) are printed solely in accordance with the typewritten name (s), and since the error was the result of applicant's failure to comply with the requirement that the complete and correct name (s) be indicated on the Declaration or Oath, no correction is in order here under the provisions of Rules 1.322, unless a petitions is granted.

In view of the foregoing, your request is hereby denied.

However, a petition under 37 CFR 1.182 should be directed to the attention of the Assistant Commissioner for Patents using the following mailing address or FAX number.

By Mail:

Commissioner of Patents and Trademarks

Box DAC

Washington, D.C. 20231

By Fax:

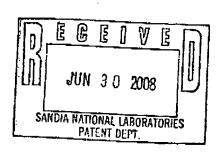
(571) 272-3218 Attn: Office of Petitions If, the petition under 37 CFR 1.182 (required fee currently \$130), is filed and granted, the patentee would be entitled to a certificate of correction under 37 CFR 1.323 (required fee currently \$100).

Further correspondence concerning this matter should be filed and directed to Decisions and Certificates of Correction Branch.

Valerie Jackson
For Mary F. Diggs
Decisions & Certificates
of Correction Branch
(703) 308-9390 ext. 114

Sandia National Laboratories P.O. Box 5800, MS-0161 Albuquerque, NM 87185

vj/MFD



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SD6769/96421

As the below-named inv	ventor(s): Perry J. Robe	rtson and Ed	ward L. Witzke.	•		
I (We) hereby declare the My (Our) residuance(s).	nat: lencc(s), post office addr	ess(es), and ci	tizenship(s) are as stated	below next to	my (our)	
I believe I am	the original, first, and solural names are listed belonented Enhancement of	ATT A Fundament	ect matter which 19 Clail	med and for Mi	itch a barer	17 19
X_ is attached hereto was filed on under 37 CFR 1.1115 v	, as Serial No.	issued on	and was amended on _		_by Respo	nsc
I (We) hereby	state that I (we) have reg the claims as amended l	viewed and un	derstand the contents of			
I (We) acknows application in accordant § 1.56(a).	wledge the duty to disolo ace with Title 37, Code o	se information f Federal Regi	which is material to the ilations,	patentability o	f this	
£ 110 of any foreign as	claim foreign priority be pplication(s) for patent or n for patent or inventor's	r inventor's cer certificate hav	rtificate listed below and ing a filing date before t	i have also ider	ntified belo ication on v	w which
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	•					cionity
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	·				Yes	No
Number Cour	ntraz	Filed (Da	y/Month/Year)			
I (We) hereby application(s) listed be in the prior United Sta 8 112. I (We) acknow	y claim the benefit under elow and insofar as the states application in the ma ledge the duty to disclose which occurred between	Title 35, Unit ubject matter of unner provided e material info	ed States Code, § 120 of each of the claims of by the first paragraph of mation as defined in Ti	this application of Title 35, Unit itle 37, Code of	is not disc ted States ( Federal	Code,
None				**		

SD6769/96421

<u>POWER OF ATTORNEY</u>: As the named inventor(s), I (we) hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Names and Registration Nos.	Names and Registration Nos.
George H. Libman 27,984 Fimethy D. Stanley 20,428 Gregory A. Cone 28,835 Russell D. Elliott 35,497 V. Gerald Grafe 42,599 Suzanne L. K. Rountree 47,910	John P. Hohimer 39,226 Kurt C. Olsen 29,961 Brian W. Dodson 39,170 Tim Evans 41,013 Elmer Klavetter 42,743 Robert D. Watson 45,604

Send Correspondence to:	Direct Telephone Calls To:
Sandia National Laboratories Patent & Licensing Office, MS0161 P. O. Box 5800 Albuquerque, New Mexico 87185-0161	George H. Libman (505) 844-7644

I (We) hereby declare that all statements made herein of my (our) own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor Name: Robert J. Robertson	=	Citizenship: USA
IGNATURE: Peuf J. Robertson	DATE:	10/4/2001
** / 1 /1		New Mexico 87109
sidence (City & State of Country): 9628 Rosas Ave. N	E, Albuquerque	, , , , , , , , , , , , , , , , , , , ,

Inventor Name: Edward L. Witzke Citizenship: USA

SIGNATURE: 0/3/200

Residence (City & State or Country): P. O. Box 468, Edgewood, New Mexico 87015

Postal Address: P. O. Box 488, Edgewood, New Mexico 87015

Page 1 of 2

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

5058442363

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.URGIO.OO

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE RECD
 ATTY DOCKET NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/970,912
 10/04/2001
 2131
 842
 SD-6769
 5
 21
 4

Timothy D. Stanley Sandia National Laboratories P.O. Box 5800 - MS-0161 Albuquerque, NM 87185-0161



CONFIRMATION NO. 3158
CORRECTED FILING RECEIPT

Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Perry J. Robertson, Albuquerque, NM; Edward L. Witzke, Edgewood, NM;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/238,678 10/06/2000

Foreign Applications

If Required, Foreign Filing License Granted 11/06/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Enhancement of utilization of encryption engine

**Preliminary Class** 

380

Page 2 of 2

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20230

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNBY DOCKET NUMBER

09/970,912

10/04/2001

Perry J. Robertson

SD-6769

CONFIRMATION NO. 3158

FORMALITIES LETTER

\*0000000007588392\*

Timothy D. Stanley Sandia National Laboratories P.O. Box 5800 - MS-0161 Albuquerque, NM 87185-0161

Date Mailed: 03/06/2002

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/18/2002 to the Notice to File Missing Parts (Notice) mailed 11/07/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

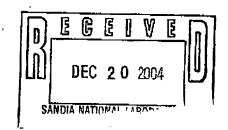
M. Dechat



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1459 Alexandria, Virginia 22313-1450 www.uspn.gov.

		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
APPLICATION NO.	FILING DATE		SD-6769	3158
09/970,912	10/04/2001	Perry J. Robertson	\$15-6769	3130
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ALBUQUERQ	UE, NM 87185-0161			•
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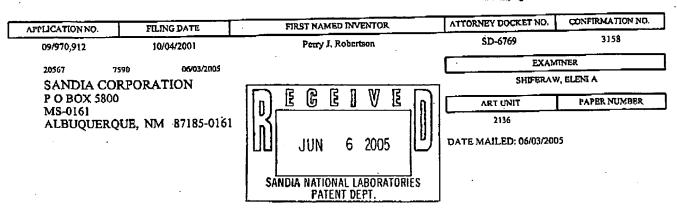


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### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P. Dep. 1429
Alexandria, Vegnin 22313-1430
www.asph.gov



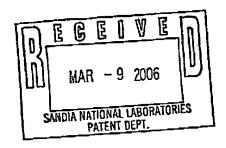
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### UNITED STATES PATENT AND TRADEMARK OFFICE

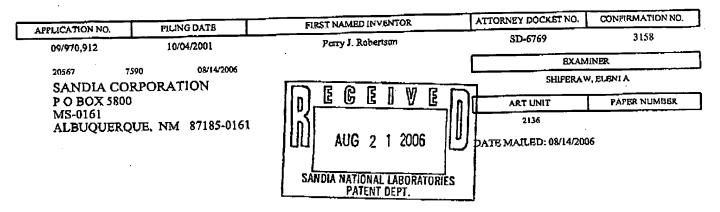
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			TO STATE OF THE PROPERTY OF	ATTORNEY DOCKET NO.	CONFIRMATION NO
_	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		3158
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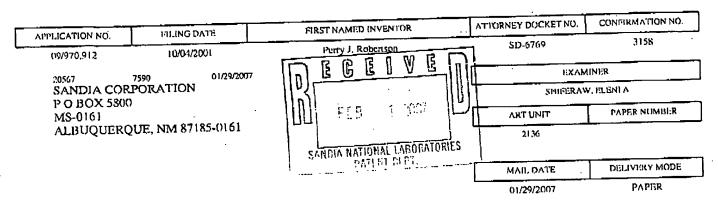
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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Tradomark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandra, Vinginia 22313-1450
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virgula 22313-1450 www.uspro.gov





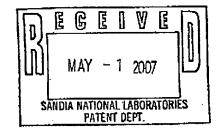
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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.unpto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/970,912	10/04/2001	Perry J. Robertson	SD-6769		
20367 7590 . 04/27/2007			EXAMINER		
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MS-0161			ART UNIT	PAPER NUMBER	
ALBUQUERQ	UE, NM 87185-0161		2136		
SHORTENED STATUTO	RY PERIOD OF RESPONSE	MAIL DATE	DELIVER	Y MODE	
	NATHS	04/27/2007	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.



## RECEIVED CENTRAL FAX CENTERPAGE 17/17

JUL 2 1 2008

DATE MAILED: 09/28/2007



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Potent and Trademark Office Address: COMMISSIONUR FOR PATENTS f.O. Box, 1450 Alexandria, Virginia 22313-1450 www.uspia.gov.

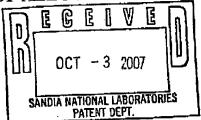
NOTICE OF ALLOWANCE AND FEE(S) DUE

20567

7590

09/28/2007

SANDIA CORPORATION P O BOX 5800 MS-0161 ALBUQUERQUE, NM 87185-0161



EXAMINER

SHIPERAW, ELENI A

ART UNIT PAPER NUMBER

2136

	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
APPLICATION NO.	PILING DATE		SD-6769 • I	3158
09/970.912	10/04/2001	Perry J. Robertson	5D-5765 <b>(</b>	

TITLE OF INVENTION: ENHANCEMENT OF UTILIZATION OF ENCRYPTION ENGINE

	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEB	TOTAL FEE(S) DUE	DATEDUE
APPLN. TYPE	SMALL ENTITY	13302123242			\$1400	12/28/2007
1.11	. NO	\$1400	\$0	\$0	\$1400	1223,2007
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THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, venify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEB unless advised to the contrary.

IMPORTANT REMUNDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3